



Turn your own evidence
into assurance.

AI-powered assurance



Use your data—mapped to regulatory standards and accreditation requirements—to run AI-powered audits based on your real documents, policies, reports, committee papers, and operational evidence. From compliance to continuous improvement, move from evidence-led assurance.

MONTHS TO MOMENTS

COMPLIANCE, RISK & REGULATORY READINESS

Audit Toolkit 2026 Edition | Whole-of-
Provider Self-Assurance

TOOLKIT PURPOSE AND SCOPE

This toolkit supports whole-of-provider self-assurance, regulatory readiness, and continuous improvement across governance, finance, academic quality, student safety, and tertiary reform. Designed for executive oversight, it enables institutions to systematically evaluate compliance posture, identify gaps, and demonstrate regulatory engagement readiness. Key outcomes include enhanced governance oversight, streamlined evidence management, and proactive preparation for TEQSA and sector reform requirements.





REGULATORY ALIGNMENT FRAMEWORK

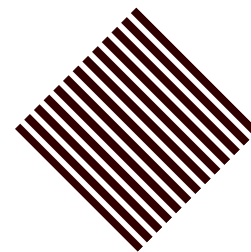


TEQSA & HESF 2021

ESOS/CRICOS

Accord/ATEC Reform

National HE Code 2025 GBV



THREE-PART EVIDENCE MODEL

Required Documents

Core governance foundations including policies, strategic plans, templates, delegations, charters, and compliance frameworks required for audit readiness.

Supporting Documents

Operational evidence demonstrating implementation including meeting minutes, monitoring reports, training records, and system outputs that validate policy compliance.

Validation Register

Central assurance mechanism with AI Audit Reports tracking findings, actions, risk levels, and closure evidence for regulatory readiness.

11-CATEGORY FRAMEWORK

This framework establishes the foundation for whole-of-provider self-assurance, enabling systematic evidence collection, risk identification, and continuous improvement across governance, finance, academic quality, student safety, and tertiary reform readiness. Each category includes purpose statements, document inventories, and supporting evidence examples to guide comprehensive audit preparation.

The following section provides a comprehensive breakdown of all eleven audit categories within the Compliance, Risk and Regulatory Readiness Audit Toolkit. Each category addresses specific governance domains with detailed required documents, supporting evidence requirements, and validation criteria aligned to TEQSA, HESF 2021, and National Higher Education Code 2025 standards.

